MORE INFORMATION AND AGENDA COMING SOON!

Agenda

A GUIDE TO CONTINUING EDUCATION

+ CLE -Indicates Session has been submitted for Continuing Legal Education Credit
For information on states where CLE credit has been applied for visit the **COMPLIANCE TRACK TAB OR** CLICK HERE.

Sunday, October 15, 2017

12:00 PM - 12:45 PM

Compliance Conference Registration

Boxed lunches will be available for Compliance Conference participants.

12:45 PM - 1:00 PM

Compliance Conference Welcome

Welcome to the Compliance Track! This year's Fall Conference is being kicked off once again by our very popular specialized track for compliance professionals and attorneys. The Life Insurance Settlement Association (LISA) is at the forefront of legislation, litigation and other legal matters that are pertinent to your business. We are proud to present the most timely issues to help our members and attendees maintain compliance standards for their businesses. CLE credits are available in a variety of states for any session marked with ***+CLE***.

Speakers: Michael Kreiter

1:00 PM - 2:00 PM

COMPLIANCE TRACK- What's Happening on the Hill? Federal Insurance Update

+CLE

Washington is a hotbed for the insurance and financial services sectors. As President Trump's administration gets settled in, major issues surrounding healthcare and the state-based system of insurance are at the forefront. Questions still surround the Department of Labor's Fiduciary Rule, which may have an impact on market participants in the life settlement space. Additionally, tax issues, including clarification on the inside build-up of life insurance, as well as a bill by Rep. Tiberi addressing the basis calculation would affect the life settlement industry. The CHOICE Act of 2017 is also making waves in the industry as it looks to tear down and replace many Obama-era institutions, including the Consumer Financial Protection Bureau and the Federal Insurance Office. These experts are well-immersed in the D.C. scene and can offer an insider's view of what is happening on The Hill.

Speakers: Holly Kinnamon

2:00 PM - 3:00 PM

COMPLIANCE TRACK- Model Agreement for Tertiary Transactions & Other Investment Issues

This session will be submitted for CLE credits in a variety of states.

Speakers: James W. Maxson,
Mark Venn

3:00 PM - 3:15 PM

Networking Break

3:15 PM - 4:15 PM

COMPLIANCE TRACK- Legislative & Regulatory Efforts: A New Wave of Interest

+CLE

2017 has seen several developments in state legislatures, departments of insurance and at the NAIC. LISA has continued working toward protecting the market and its participants, as well as promoting life settlements as a viable option to the lapse or surrender of a life insurance policy. Major bills in Florida, Rhode Island and Delaware saw action, while the life settlements have been favorably discussed at the NAIC. LISA has made significant in-roads with many state departments of insurance and has embarked on a project to work with the departments update consumer information on their websites. Join LISA staff for updates on our efforts on

	behalf of the industry. **Speakers:** Michael Kreiter
4:15 PM - 5:15 PM	**COMPLIANCE TRACK- Recent Trends in Litigation: What Investors Need to Know** This session will be submitted for CLE credits in a variety of states. **Speakers:** David Lawrence, Jule Rousseau
5:30 PM - 7:00 PM	**Welcome Reception**

Monday, October 16, 2017

7:30 AM - 8:30 AM	**Breakfast**
8:30 AM - 9:00 AM	**Opening General Session** **Speakers:** Darwin Bayston, James W. Maxson, Dan Young
9:00 AM - 10:00 AM	**Charting the Course: Forecasting the next 3-5 Years in the Life Settlement Industry** **Speakers:** Senator E. Benjamin Nelson
10:00 AM - 10:30 AM	**Networking Break**
10:30 AM - 11:30 AM	**Tax & Securities Update: Issues for Non-US Investors & Life Settlements as Securities** **Speakers:** Lawrence R. Hamilton, Mark H. Leeds
11:30 AM - 12:30 PM	**Life Insurance Commissioners Panel**
12:30 PM - 2:00 PM	**Networking Luncheon**
2:00 PM - 5:00 PM	**Networking & Leisure Break** Announcement of activities coming soon!
5:30 PM - 7:00 PM	**Networking Reception**

Tuesday, October 17, 2017

8:30 AM - 9:30 AM	**After the Sale: What does it take to maintain a policy?** This panel will discuss the issues presented to portfolio owners and managers regarding their individual policies and portfolios as a whole. Topics will include dealing with difficult carriers, uncooperative insureds, premium options such as no lapse guarantees, COI increases and complicated death claims. **Speakers:** John Dallas, Clay Gibson
9:30 AM - 10:30 AM	**Creating Sustainable Supply** **Speakers:** Darryl Glatthorn, Jon Mendelsohn
10:30 AM - 11:00 AM	**Networking Break**
11:00 AM - 12:00 PM	**How to Access the Financial Advisor Network and Expand your Business Indefinitely**
12:00 PM - 1:00 PM	**Networking Luncheon**
1:00 PM - 2:00 PM	**Consumer Awareness and Consumer Disclosure Acts & the BIG IMPACT they Make for your Business**

2:00 PM - 3:00 PM	**Navigating Cyber Security: Preparing and Protecting Your Small Business**
3:00 PM - 3:15 PM	**Closing Remarks**